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**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael J. Pugia et al.

Attorney

55197-00020USPT

Docket:

Serial No.:

10/082,415

Group Art:

1743

Filed:

February 26, 2002

Examiner:

Samuel P. Siefke

Title:

METHOD AND APPARATUS FOR

PRECISE TRANSFER AND

MANIPULATION OF FLUIDS BY CENTRIFUGAL AND/OR CAPILLARY

**FORCES** 

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.97 and 1.98

CERTIFICATE OF MAILING

37 C.F.R. 1.8

Mail Stop Amendment Commissioner for Patents Washington, D.C. 20231 I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. on the late indicated below:

te Signati

## Dear Commissioner:

Pursuant to 37 CFR §§ 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

In accordance with 37 CFR § 1.98(d), any necessary copies are enclosed. However, in accordance with the October 12, 2004 OG Notice, copies of U.S. patents and U.S. published application references are no longer required and, thus, are not enclosed.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is or is considered to be "prior art" with respect to the present application or material to patentability as defined in 37 CFR § 1.56.

The present Information Disclosure statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits and hence is believed to be timely in accordance with 37 C.F.R. § 1.97(b). Accordingly, no fees are believed to be due in connection with the filing of this Information Disclosure Statement. However, should any fees be deemed necessary (except payment of the issue fee), the Commissioner is authorized to charge any deficiency or to credit any over payment to Jenkens & Gilchrist Deposit Account No. 10-0447/55197-00020USPT.

Respectfully submitted,

Date '

9/16/05

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Form PTO-1449 (modified)

Atty. Docket No.
55197-00020USPT

List of Patents and Publications for Applicant's

Applicant
Michael J. Pugia et al.

Filing Date:

Group: 1743

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Page 1 February 26, 2002

U.S. Patent Documents										
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.			
	<b>A</b> 1	3,798,459	03/19/74	Anderson et al.	250	218				
	A2	3,804,533	04/16/74	Scott	356	197				
	A3	3,992,158	11/16/76	Przybylowicz et al.	23	253 TP				
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Examiner:	DATE CONSIDERED:
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CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form P	orm PTO-1449 (modified)  ist of Patents and Publications for Applicant's NFORMATION DISCLOSURE STATEMENT			Atty. Docket No. 55197-00020USPT	ial No. 082,415		
				Applicant Michael J. Pugia et al.	-		
	Page 2		Filing Date: February 26, 2002	Gro	oup: 1743		
	A28	5,258,311	11/02/93	Lewis et al.	436	63	
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	A30	5,360,595	11/01/94	Bell et al.	422	56	
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A28   5,258,311   11/02/93   Lewis et al.   436   63     A29   5,286,454   02/15/94   Nilsson et al.   422   102     A30   5,360,595   11/01/94   Bell et al.   422   56     A31   5,372,918   12/13/94   Usui et al.   430   379     A32   5,443,890   08/22/95   Ohman   428   167     A33   5,458,852   10/17/95   Buechler   422   58     A34   5,826,981   10/27/98   Fowler et al.   366   337     A35   5,834,314   11/10/98   Gates et al.   436   52     A36   5,885,527   03/23/99   Buechler   422   58     A37   5,932,315   08/03/99   Lum et al.   428   172     A38   6,024,138   02/15/00   Fritz et al.   141   31     A39   6,043,043   03/28/00   Yip   435   72     A40   6,063,589   05/16/00   Kellogg et al.   435   24     A41   6,074,725   06/13/00   Kennedy   428   188     A42   6,123,798   09/26/00   Gandhi et al.   156   292     A43   6,130,098   10/10/00   Handique et al.   436   180     A44   6,156,270   12/05/00   Buechler   422   58     A45   6,176,119 B1   01/23/01   Kintzig   73   33.01     A46   6,176,991 B1   01/23/01   Kintzig   73   33.01     A46   6,235,175 B1   05/22/01   Dubrow et al.   204   453     A49   6,238,538 B1   05/29/01   Parce et al.   204   548     A49   6,238,538 B1   05/29/01   Parce et al.   204   453     A55   6,281,254 B1   08/28/01   Nakajima et al.   516   53     A53   6,284,113 B1   09/04/01   Bjornson et al.   204   455     A55   6,286,126 B1   10/02/01   Peters   210   456				reditary 20, 2002			
A30 5,360,595 11/01/94 Bell et al. 422 56  A31 5,372,918 12/13/94 Usui et al. 430 379  A32 5,443,890 08/22/95 Öhman 428 167  A33 5,458,852 10/17/95 Buechler 422 58  A34 5,826,981 10/27/98 Fowler et al. 366 337  A35 5,834,314 11/10/98 Gates et al. 436 52  A36 5,885,527 03/23/99 Buechler 422 58  A37 5,932,315 08/03/99 Lum et al. 428 172  A38 6,024,138 02/15/00 Fritz et al. 141 31  A39 6,043,043 03/28/00 Yip 435 72  A40 6,063,589 05/16/00 Kellogg et al. 435 24  A41 6,074,725 06/13/00 Kennedy 428 188  A42 6,123,798 09/26/00 Gandhi et al. 156 292  A43 6,130,098 10/10/00 Handique et al. 436 180  A44 6,156,270 12/05/00 Buechler 422 58  A45 6,176,119 B1 01/23/01 Kintzig 73 53.01  A46 6,270,000 B1 03/27/01 Schwobel et al. 156 248  A48 6,235,175 B1 05/22/01 Dubrow et al. 204 453  A49 6,238,538 B1 05/29/01 Parce et al. 204 548  A51 6,271,040 B1 08/07/01 Buechler 436 170  A52 6,281,254 B1 07/03/01 Ross et al. 204 453  A53 6,284,113 B1 09/04/01 Bjornson et al. 204 453  A54 6,287,520 B1 09/11/01 Parce et al. 204 453  A54 6,287,520 B1 09/11/01 Parce et al. 204 453  A54 6,287,520 B1 09/11/01 Parce et al. 204 453  A54 6,287,520 B1 09/11/01 Parce et al. 204 453	A28	5,258,311	11/02/93	Lewis et al.	436	63	
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A40       6,063,589       05/16/00       Kellogg et al.       435       24         A41       6,074,725       06/13/00       Kennedy       428       188         A42       6,123,798       09/26/00       Gandhi et al.       156       292         A43       6,130,098       10/10/00       Handique et al.       436       180         A44       6,156,270       12/05/00       Buechler       422       58         A45       6,176,119 B1       01/23/01       Kintzig       73       53.01         A46       6,176,991 B1       01/23/01       Nordman       204       601         A47       6,207,000 B1       03/27/01       Schwobel et al.       156       248         A48       6,235,175 B1       05/22/01       Dubrow et al.       204       453         A49       6,238,538 B1       05/29/01       Parce et al.       204       600         A50       6,254,754 B1       07/03/01       Ross et al.       204       548         A51       6,271,040 B1       08/07/01       Buechler       436       170         A52       6,281,254 B1       08/28/01       Nakajima et al.       516       53         A53	A38	6,024,138	02/15/00	Fritz et al.	141	31	
A41       6,074,725       06/13/00       Kennedy       428       188         A42       6,123,798       09/26/00       Gandhi et al.       156       292         A43       6,130,098       10/10/00       Handique et al.       436       180         A44       6,156,270       12/05/00       Buechler       422       58         A45       6,176,119 B1       01/23/01       Kintzig       73       53.01         A46       6,176,991 B1       01/23/01       Nordman       204       601         A47       6,207,000 B1       03/27/01       Schwobel et al.       156       248         A48       6,235,175 B1       05/22/01       Dubrow et al.       204       453         A49       6,238,538 B1       05/29/01       Parce et al.       204       600         A50       6,254,754 B1       07/03/01       Ross et al.       204       548         A51       6,271,040 B1       08/07/01       Buechler       436       170         A52       6,281,254 B1       08/28/01       Nakajima et al.       516       53         A53       6,284,113 B1       09/04/01       Bjornson et al.       422       100	A39	6,043,043	03/28/00	Yip	435	72	
A42       6,123,798       09/26/00       Gandhi et al.       156       292         A43       6,130,098       10/10/00       Handique et al.       436       180         A44       6,156,270       12/05/00       Buechler       422       58         A45       6,176,119 B1       01/23/01       Kintzig       73       53.01         A46       6,176,991 B1       01/23/01       Nordman       204       601         A47       6,207,000 B1       03/27/01       Schwobel et al.       156       248         A48       6,235,175 B1       05/22/01       Dubrow et al.       204       453         A49       6,238,538 B1       05/29/01       Parce et al.       204       600         A50       6,254,754 B1       07/03/01       Ross et al.       204       548         A51       6,271,040 B1       08/07/01       Buechler       436       170         A52       6,281,254 B1       08/28/01       Nakajima et al.       516       53         A53       6,284,113 B1       09/04/01       Bjornson et al.       204       453         A54       6,287,520 B1       09/11/01       Parce et al.       422       100	A40	6,063,589	05/16/00	Kellogg et al.	435	24	
A43       6,130,098       10/10/00       Handique et al.       436       180         A44       6,156,270       12/05/00       Buechler       422       58         A45       6,176,119 B1       01/23/01       Kintzig       73       53.01         A46       6,176,991 B1       01/23/01       Nordman       204       601         A47       6,207,000 B1       03/27/01       Schwobel et al.       156       248         A48       6,235,175 B1       05/22/01       Dubrow et al.       204       453         A49       6,238,538 B1       05/29/01       Parce et al.       204       600         A50       6,254,754 B1       07/03/01       Ross et al.       204       548         A51       6,271,040 B1       08/07/01       Buechler       436       170         A52       6,281,254 B1       08/28/01       Nakajima et al.       516       53         A53       6,284,113 B1       09/04/01       Bjornson et al.       204       453         A54       6,287,520 B1       09/11/01       Parce et al.       422       100	A41	6,074,725	06/13/00	Kennedy	428	188	
A44       6,156,270       12/05/00       Buechler       422       58         A45       6,176,119 B1       01/23/01       Kintzig       73       53.01         A46       6,176,991 B1       01/23/01       Nordman       204       601         A47       6,207,000 B1       03/27/01       Schwobel et al.       156       248         A48       6,235,175 B1       05/22/01       Dubrow et al.       204       453         A49       6,238,538 B1       05/29/01       Parce et al.       204       600         A50       6,254,754 B1       07/03/01       Ross et al.       204       548         A51       6,271,040 B1       08/07/01       Buechler       436       170         A52       6,281,254 B1       08/28/01       Nakajima et al.       516       53         A53       6,284,113 B1       09/04/01       Bjornson et al.       204       453         A54       6,287,520 B1       09/11/01       Parce et al.       422       100	A42	6,123,798	09/26/00	Gandhi et al.	156	292	
A45       6,176,119 B1       01/23/01       Kintzig       73       53.01         A46       6,176,991 B1       01/23/01       Nordman       204       601         A47       6,207,000 B1       03/27/01       Schwobel et al.       156       248         A48       6,235,175 B1       05/22/01       Dubrow et al.       204       453         A49       6,238,538 B1       05/29/01       Parce et al.       204       600         A50       6,254,754 B1       07/03/01       Ross et al.       204       548         A51       6,271,040 B1       08/07/01       Buechler       436       170         A52       6,281,254 B1       08/28/01       Nakajima et al.       516       53         A53       6,284,113 B1       09/04/01       Bjornson et al.       204       453         A54       6,287,520 B1       09/11/01       Parce et al.       422       100	A43	6,130,098	10/10/00	Handique et al.	436	180	
A46       6,176,991 B1       01/23/01       Nordman       204       601         A47       6,207,000 B1       03/27/01       Schwobel et al.       156       248         A48       6,235,175 B1       05/22/01       Dubrow et al.       204       453         A49       6,238,538 B1       05/29/01       Parce et al.       204       600         A50       6,254,754 B1       07/03/01       Ross et al.       204       548         A51       6,271,040 B1       08/07/01       Buechler       436       170         A52       6,281,254 B1       08/28/01       Nakajima et al.       516       53         A53       6,284,113 B1       09/04/01       Bjornson et al.       204       453         A54       6,287,520 B1       09/11/01       Parce et al.       422       100	A44	6,156,270	12/05/00	Buechler	422	58	
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A50       6,254,754 B1       07/03/01       Ross et al.       204       548         A51       6,271,040 B1       08/07/01       Buechler       436       170         A52       6,281,254 B1       08/28/01       Nakajima et al.       516       53         A53       6,284,113 B1       09/04/01       Bjornson et al.       204       453         A54       6,287,520 B1       09/11/01       Parce et al.       422       100	A48	6,235,175 B1	05/22/01	Dubrow et al.	204	453	
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